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Crocodilian Phallic Malformations and Anomalies

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Abstract:	Phallic malformations directly impact crocodilian copulatory function and thus could impact reproductive success in individual animals. This case series characterizes malformations observed and the implied reproductive consequences. We assessed a range of phallic malformations observed in saltwater crocodile (<i>Crocodylus porosus</i>), Nile crocodile (<i>Crocodylus niloticus</i>), broad-snouted caiman (<i>Caiman latirostris</i>), and Cuban crocodile (<i>Crocodylus rhombifer</i>) during veterinary examinations or postmortem studies and characterized observations into the following categories: amputation, phallic glans malformations, sulcus spermaticus obstruction, and prolapse. Through detailed descriptions of these anomalies, we discuss potential reproductive health impact based on current knowledge across crocodilian species. When considering captive management of breeding stock, it is vital to examine individual efficacy at achieving proper intromission and insemination. Therefore, full reproductive examination in males should be part of routine examination to best manage individuals with such anomalies.

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1 Crocodilian Phallic Malformations and Anomalies

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22 Abstract

23 Phallic malformations directly impact crocodilian copulatory function and thus could impact

24 reproductive success in individual animals. This case series characterizes malformations

25 observed and the implied reproductive consequences. We assessed a range of phallic

26 malformations observed in saltwater crocodile (*Crocodylus porosus*), Nile crocodile (*Crocodylus*

27 *niloticus*), broad-snouted caiman (*Caiman latirostris*), and Cuban crocodile (*Crocodylus*

28 *rhomboifer*) during veterinary examinations or postmortem studies and characterized observations

29 into the following categories: amputation, phallic glans malformations, sulcus spermaticus

30 obstruction, and prolapse. Through detailed descriptions of these anomalies, we discuss potential
31 reproductive health impact based on current knowledge across crocodylian species. When
32 considering captive management of breeding stock, it is vital to examine individual efficacy at
33 achieving proper intromission and insemination. Therefore, full reproductive examination in
34 males should be part of routine examination to best manage individuals with such anomalies.

35

36 **Key Words:** Caiman, Crocodylus, insemination, intromission, phallic malformations

37

38 **Introduction**

39 Crocodylian phallic anatomy and associated copulatory functions have been characterized
40 among species in all three extant families of Crocodylia (Johnston *et al.*, 2014; Fitri *et al.*, 2018;
41 Moore *et al.*, 2020a-c, 2021, 2022). In each taxa, male phalli serve two core copulatory
42 functions: intromission and insemination (Kelly and Moore, 2016). Prior to intromission,
43 coordinated cloacal muscle contractions protrude the phallus from intracloacal storage to an
44 external copulatory position. Suspensory connective tissue attached to the phallic shaft acts as a
45 pivot point rotatating the emerging shaft and glans cranially (Kelly, 2013). The rigid corpus
46 fibrosum of the phallus shaft facilitates intromission that, in turn, places the distal male glans in
47 contact with female reproductive tract openings in the walls of the uroprotodeal fold (Moore *et*
48 *al.*, 2022). Subsequently, with increased blood pressure, the corpus spongiosum elements within
49 the glans expand the distal phallus into species-specific shapes (examples, Fig. 1) that create a
50 copulatory fit with female cloacal elements and aligns the termination of the spermatazoa-
51 carrying sulcus spermaticus with the vaginal opening to each oviduct (Moore *et al.*, 2021, 2022).
52 Further, male-female tissue copulation interactions may advantageously exclude water from the
53 site of semen transfer (Grigg *et al.*, 2015). Although many of the species-specific details of

54 insemination mechanism(s) still require further functional study, it appears that the sequence of
55 the copulatory process and related functions of phallic structures are strongly conserved among
56 crocodilian taxa.

57 Difficulties with any of these steps could impair intromission or insemination and leave a
58 male with reduced or absent reproductive capabilities. These pathologies may be congenital,
59 developmental, or trauma induced. Our case series does not attempt to identify the manifold
60 causes of pathologies in the examples presented or aim to predict frequencies in wild or
61 husbandry situations; we instead focus on highlighting situations where a visual examination of
62 genital morphology could lead to an informed assessment of decreased or eliminated male
63 reproductive function. A proper understanding of the range of abnormalities and malformations
64 of the male genitalia and its potential effect on reproductive capacity is essential for success in
65 any health assessment or breeding program, whether maintaining animals for conservation or
66 improving the efficiency of farming operations.

67

68 **Materials and Methods**

69 The term crocodilian is a collective term used to refer to members of the Crocodylidae,
70 Alligatoridae, and Gavialidae families. Of the species included in this study, Cuban crocodile
71 (*Crocodylus rhombifer*), saltwater crocodile (*Crocodylus porosus*), and Nile crocodile
72 (*Crocodylus niloticus*) belong to Crocodylidae, while broad-snouted caiman (*Caiman latirostris*)
73 and American alligator (*Alligator mississippiensis*) are members of the Alligatoridae family.
74 Due to species limitations and the opportunistic nature of this case series, Gavialidae spp. were
75 not included in this study.

76 Nile crocodile tissues were collected at a farming operation (Le Croc; Brits, South Africa)
77 and shipped to the United States for further processing; animal collection, handling, and tissue
78 collection procedures conformed to South African and United States permitting and utilized
79 Institutional Animal Care and Use Committee (IACUC#: Moore 11-2015) approved protocols
80 (CITES certificate #152603) and United States import permitting (CITES schedule II). Animal
81 handling and tissue collection procedures for broad-snouted caiman conformed to IACUC and
82 permitting regulations of the University of the South Institutional Animal Care and Use
83 Committee (IACUC; project #1-2017); approved protocols and subsequent transport utilized
84 Argentinian export (CITES certificate #44150) and United States import permitting (CITES
85 schedule II). Saltwater crocodile and Cuban crocodile data were obtained from opportunistic
86 field photography and communications with veterinarians and field biologists, respectively, from
87 live animals during routine examinations. Cuban crocodile observations came from a CITES-
88 registered captive breeding operation that is authorized to collect and raise Cuban crocodiles per
89 the Cuban government. American alligator tissues were collected under Florida permit
90 (Permit#WX01310) and necropsied under University of Florida approved protocols (IACUC
91 #D525).

92 Morphological malformations were advantageously observed during either health
93 assessments or experimental necropsy of the four following species:

94 Saltwater crocodile: Two captive 20-year-old males of good body condition and apparent
95 health were assessed for long-term reproductive failure in 2018. Each male was housed in an
96 individual pen (26 m² pens consisting of smooth concrete with a water body in the center of each
97 pen covering 25 percent of the pen surface area) on a Bangladeshi farm as sole breeders with two
98 females. After years of not producing offspring, males were captured for veterinary examination

99 and genital anatomy was photographed. Photographs were shared through field communication
100 following exam.

101 Nile crocodile: Twenty-two captive 3-year-old juvenile males (Snout-Vent Length [SVL] 93-
102 96 cm; 36-38 in) were necropsied following standard hide collection at Le Croc Breeding Farm
103 and Tannery near Brits, South Africa in 2016. One out of 22 juveniles examined exhibited phallic
104 malformation. Juvenile animals at this facility are group housed in large enclosures with concrete
105 flooring. Histological sectioning, artificial inflation, and 3D reconstruction of serial MRIs were
106 performed as previously published (Moore *et al.*, 2021). In short, tissues were washed in 1x
107 phosphate-buffered saline (PBS) then imaged in a Bruker Biospec MRI scanner (Bruker
108 Corporation, Billerica, MA, USA) using a 72 mm diameter Bruker volume RF coil and a 3D
109 FLASH sequence (T/TR/Flip/NA = 6.5 ms/25ms/14°/2) with fat suppression and a total scan
110 time ~ 2 hr. Resolution was 100 by 100 mm isotropic.

111 Broad-snouted caiman: Twenty-two captive-raised juvenile males (SVL range 48-69 cm; 19-
112 27 in) were necropsied for morphological study (Moore *et al.*, 2022) at two Argentinian facilities
113 in May 2018: the Yacaré Project, Santa Fe and Yacaré Pora Ranch, Corrientes. Three animals
114 displayed phallic abnormalities. Individuals of up to 10 clutches were co-housed in cement pools
115 with no sex evaluation of the animals until commercial hide harvest. Serial MRI methods match
116 those employed for Nile crocodiles above.

117 Cuban crocodile: Two adult males were photographed during routine handling including a
118 captive-born male (SVL 2.9 m; 114 in) housed at the Zapata Swamp Farm, Cuba and a wild-
119 caught male (SVL 1.8 m: 71 in) from the Zapata Swamp, Cuba. Opportunistic communications
120 from field biologists yielded photographs from the examination of the two individuals.

121 Additionally, tissues from an American alligator were utilized to highlight the normal
122 anatomical structures of Alligatoridae. This estimated 4–5-year-old animal was collected by hand
123 at Lake Woodruff Wildlife Refuge, Florida, USA in 2017 and transported to the University of
124 Florida (Gainesville, FL, USA) for necropsy, with normal phallic tissues harvested for anatomic
125 study.

126 **Results**

127 Here we present phallic malformation categories observed among species.

128 **Glans tip malformations:** A phallus with a bisected glans tip was observed in one broad-snouted
129 caiman (compare Fig. 2A, B). This malformation would allow semen movement to the distal
130 phallus but lack targeted insemination.

131 **Improper glans inflation:** Phallic glans malformation was observed in a broad-snouted caiman,
132 a Nile crocodile, and a Cuban crocodile. While the dense irregular connective tissues of the
133 corpus fibrosum provides shaft rigidity to allow intromission, the corpus spongiosum that
134 laterally flanks the sulcus spermaticus subsequently expands into an inflatable structure that
135 produces a species-specific erect glans shape (Figs. 3A and 4C). An inflated malformed glans
136 results in defect exaggeration as glans aspects deflect from their appropriate copulatory shape
137 (Figs. 2C,D; 3B,C; 4C,D). In histological section (Fig 3D), three-dimensional reconstruction
138 (Fig. 3E), and serial MRIs (Fig. 5A-C), glans corpus spongiosum bisections results in substantial
139 inflation malformations.

140 **Sulcus spermaticus defects:** A Cuban crocodile presented a phallus with an eroded sulcus
141 spermaticus at the proximal base (compare Fig. 4A, C to B,E,F), putatively impeding sperm

142 delivery.. The sulcus progressively becomes sclerotic (fibrous scar tissue) and stenotic (narrow)
143 from the base towards the tip.

144 **Phallic amputations:** Captive saltwater crocodiles and caiman and wild-caught Cuban crocodile
145 males presented phallic amputations (Fig.6), and these were the most easily observed
146 malformation during examination. Note, extreme amputation cases, such as in saltwater and
147 Cuban crocodile seen here (Fig. 6B, E), could result in animals mistaken for females upon
148 cloacal probing to identify sex.

149

150 **Discussion**

151 The proper identification and classification of phallic malformations can help to predict
152 functional fertility or infertility as they occur in the animal. By cataloging these abnormalities,
153 we can begin to enumerate how the male genital anatomy can vary. Thus, we can understand the
154 effects of these malformations on breeding fitness and reproductive success.

155 Amputations, phallic glans malformations, and sulcus spermaticus obstructions were
156 cataloged in this case series and compared to the current literature, taking into consideration
157 applied form and function. Two phallic pathologies not observed in this study but detailed in
158 literature include chronic phallic prolapse and impaired phallic eversion, which will also be
159 discussed.

160 **Amputation:** There is some evidence that individuals with persistent paraphimosis can still
161 retain the ability to copulate and inseminate females (Augustine *et al.*, 2017). However,
162 amputation of the distal phallus including the glans and distal sulcus spermaticus represents an
163 extreme disruption of copulatory ability. The animal may maintain eversion capability and

164 limited intromission but will lose the ability to produce tissue interactions between the glans and
165 the female proctodeum that may lead to effective insemination (Moore *et al.*, 2021, 2022).
166 **Phallic Glans Malformations:** Comparing the filiform glans tip from the caiman with the
167 bisected glans tip in Fig. 2B with the normal anatomy of this filiform process seen in members of
168 Alligatoridae, shown in an American alligator in Fig. 1 and 2A, this caiman's malformation
169 would likely impact fertility. We hypothesize that filiform glans tip malformations such as
170 observed in this caiman would have a greater fertility impact than similar bisections of blunt
171 glans tips observed in members of Crocodylidae (Figs. 1, 3A, and C), for functional reasons
172 stated in the introduction.

173 Glans malformations may impact male fertility by allowing water seepage in the female
174 cloaca, causing impaired glans tip placement, and altered male cryptic signals that stimulate
175 female gamete utilization. Both examples of glans injury presented were collected from farms
176 where concrete bottomed pens are utilized. This raises concerns of housing and husbandry
177 impacting fertility as animals of two different taxa and located in two different geographic
178 locations displayed similar injuries. Possibly these are abrasion injuries resulting from the
179 concrete bottoms, or they are the result of male-to-male conflict due to the animal concentrations
180 housed in each of these pens. While there is not enough data to determine prevalence of such
181 malformity in farmed crocodylians, particularly those on similar substrates, we posit that similar
182 cases could easily go undocumented.

183 Leiva *et al.* (2022) documented the frequency of male penile lesions in juvenile broad-
184 snouted caimen raised in a farm situation. In association with the lesions, 10.9 percent of the
185 animals from the sample population had structural defects on the glans cuff. Petechiae were also
186 noted with significant frequency (34.8 percent) on the dorsal or ventral shaft. This case series

187 shows that a malformed glans is not necessarily a rare occurrence in farmed animals, even in
188 young animals (about 1 m; 40 in total length), resulting in malformations as they sexually
189 mature. While many of these individuals are not utilized as breeding stock, those that are
190 expected to go on to copulate may have further difficulty or possible infertility due to these
191 structural defects.

192 While these housing and husbandry concerns may not be consequential in operations
193 raising animals for leather or meat, this is a problem for facilities raising conservation or
194 collection animals or growing males for farm breeders. Despite raising overall healthy
195 individuals, phallicly-impaired males may not be able to reproduce, thus impeding efforts to
196 increase wild breeding populations or simply nullify female reproduction in male-restricted
197 farming facilities. In either case, we propose conducting a physical examination of each male's
198 phallus condition before selecting them for reintroduction or as a potential breeder.

199 **Sulcus Spermaticus Obstruction:** Targeted semen delivery is vital for successful copulation. In
200 crocodylians, this is achieved via peristaltic smooth muscle contractions lateral to the sulcus
201 spermaticus and sulcus termination in a glans tip projection. While the Crocodylidae glans tip is
202 blunt, as opposed to filiform in Alligatoridae (compare Figs. 2A and 4C to 3A), both align
203 gamete delivery with oviductal openings during copulation. Therefore, any sulcus spermaticus or
204 glans tip malformation would impede gamete transport and placement, respectively.

205 The Cuban crocodile in Fig. 4B exhibits significant sclerosis and stenosis along the extent
206 of the sulcus spermaticus. This damage to the sulcus spermaticus would prevent adequate sperm
207 delivery to the distal tip of the glans, impeding fertility via lack of targeted sperm delivery.
208 Excision of the sulcus spermaticus has been utilized as an effective form of birth control in a
209 cohort of mugger crocodiles (*Crocodylus palustris*) without ligating or obstructing the vas

210 deferens (William *et al.*, 2015). Therefore, the animal did not lose the ability to create sperm and
211 transport it to the proximal sulcus spermaticus, but rather lost the ability to conduct the sperm to
212 the distal glans tip for insemination. This seems to align with the individual in 4B, where
213 successful insemination appears to be effectively impeded.

214 **Prolapse:** Prolapse is an additional category of phallic abnormality that would necessitate a
215 breeding examination. We did not observe prolapse in this case series. Prolapse is defined when
216 “inner tissue protrudes through the vent opening, resulting in exposed intestines, cloaca, or
217 [genitalia]” (Hoppe, 2021). In the case of crocodilian male reproductive anatomy, the condition
218 can present as either penile prolapse (*i.e.*, paraphimosis), where the everted phallus cannot be
219 retracted into the vent, or cloacal prolapse, where the sum of inner tissues of the cloaca protrudes
220 outside the body.

221 The Global Federation of Animal Sanctuaries’ Standards for Crocodilian Sanctuaries
222 (2019) states that “care [should be] taken to prevent cloacal prolapse... during handling and
223 veterinary care”, a condition that can be fatal (Ogunro *et al.*, 2018; Vyas and Mistry, 2021), and
224 demands prompt veterinary attention. While Hedley and Eatwell (2014) provide a retrospective
225 study of 56 cases of reptilian cloacal prolapse, none of the examples presented were crocodilians,
226 suggesting that the condition may be more prevalent in squamates and chelonians or
227 underrepresented in literature. Our literature review of cloacal prolapse in crocodilian species
228 identified nine descriptive cases (Nile crocodile: Lankester and Hernandez-Divers, 2005; mugger
229 crocodile: Simon *et al.*, 2012; Vyas and Mistry, 2021; saltwater crocodile: Johnston *et al.*,
230 2014; Cuban crocodile: Augustine *et al.*, 2017; gharials (*Gavialis gangeticus*): Mohanty *et al.*,
231 1980; dwarf crocodile (*Osteolaemus tetraspis*): Ogunro *et al.*, 2018) with none determining the
232 specific cause of the condition.

233 Paraphimosis alone may resolve spontaneously (Lankester and Hernandez-Divers, 2005),
234 but can also be recurrent or persistent and cause permanent damage to the phallus (Lankester and
235 Hernandez-Divers, 2005; Johnston *et al.*, 2014; Augustine *et al.*, 2017). Because crocodylian
236 phalli do not have urinary function, persistent paraphimosis can be treated with phallic
237 amputation at a reproductive cost. In the procedure, significant hemorrhage from the two blood
238 vessels that run parallel to the sulcus on the ventral surface of the distal glans can occur when the
239 damaged portion of the phallus is amputated (Lankester and Hernandez-Divers, 2005). These
240 blood vessels provide the blood supply for inflating the distal glans during copulation (Moore *et*
241 *al.*, 2016) and should be cauterized or otherwise ligated during surgery (Lankester and
242 Hernandez-Divers, 2005).

243 Persistent or recurrent paraphimosis could be caused by many factors. The simplest cause
244 may be inflammation following trauma to the highly vascularized glans tissues that prevents
245 retraction of the phallus into the vent (Lankester and Hernandez-Divers, 2005). As the phallic
246 eversion mechanism in crocodylians is complex (Kelly, 2013), other kinds of damage can also
247 prevent retraction. Because the crocodylian phallus is everted by cloacal muscle contraction
248 (Kelly 2013), tonic contraction of these muscles could force the phallus out of the vent.
249 Lankester and Hernandez-Divers (2005) posit that paraphimosis could be caused by “neurologic
250 deficits involving the retractor phallus muscles”, but did not know that unlike other amniotes,
251 crocodylians lack a retractor muscle on their phallus (Kelly, 2013). Rather, retraction of the
252 crocodylian phallus relies entirely on the elastic recoil of ligamentous rami that are held in
253 tension during phallic eversion (Kelly, 2013); damage to these structures during copulation could
254 lead to persistent phallus prolapse.

255 **The Reproductive Examination:** Standardized reproductive examination should be standard
256 practice for crocodylian species of reproductive age, analogous to breeding soundness
257 examinations (BSE) utilized in domestic animal theriogenology. These examinations typically
258 include a physical and functional reproductive examination with the goal of predicting the
259 animal's future reproductive efficacy. While examination for phallic malformations make up a
260 subset of a complete BSE, these examinations would help to identify issues before the loss of
261 investment due to lack of fertility. Implementing BSEs at a young age or even prior to
262 introduction to females for breeding could identify such potential fertility issues. With this
263 information, a physical examination can be instituted to identify any of these abnormalities
264 before spending resources.

265 In many collections, breeding soundness exams are not commonplace when deciding
266 mating pairs. Rather, these decisions are based on the genetics of the animals. Endless time and
267 resources are expended to transport, maintain, house, and breed these individuals that perhaps
268 will never copulate in their lifetimes. Additionally, several species of crocodylians in zoological
269 collections are IUCN (International Union for Conservation of Nature) red listed. In the case of a
270 valuable animal, identification of potential morphologic issues would allow for prediction of
271 functional capabilities and potential intervention where indicated. While artificial insemination
272 practices have historically been performed in crocodylian species (Larsen and Cardeihac, 1981),
273 these techniques have not reliably been successful or resulted in live offspring. With the absence
274 of consistently efficacious artificial insemination techniques in crocodylians presently, transport
275 of individuals for live cover mating is necessary yet costly. However, if these individuals are not
276 examined for reproductive capabilities before expending these resources, survival of species
277 could be at risk.

278 It should be noted that as a limitation to this study, only juvenile Nile crocodile and
279 broad-snouted caimen were observed, as these malformation observations were discovered
280 opportunistically over many years of normal morphological studies. These studies focused on
281 farmed juveniles because of availability, especially in species with strict regulation of wild
282 populations. In short, this methodology could potentially have caused us to miss a subset of
283 malformations that occur as the juveniles sexually mature.

284

285 **Conclusions**

286 In total, 8 individuals representing four crocodylian species from both Crocodylidae and
287 Alligatoridae families exhibiting phallic malformations were observed and described. These
288 malformations were broken down further into categories including phallic glans malformation
289 (three broad-snouted caimen, one saltwater crocodile, one Nile crocodile), sulcus spermaticus
290 obstructive defects (one Cuban crocodile), and amputation (one saltwater crocodile, one Cuban
291 crocodile). Phallic glans malformation was further sub-classified as filiform glans tip
292 malformation versus inability to achieve proper glans inflation. A fourth category, penile and
293 cloacal prolapse, is of importance in the literature and should be considered when assessing for
294 phallic malformations, but was not observed in this case series. Reproductive examinations
295 should be conducted in breeding males routinely to prevent overlooking defects or anomalies
296 from any of the above categories to maximize breeding program success.

297

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302

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367 **Figure legends**

368 **Figure 1.** Morphological variance between juvenile male phallic glans of the American alligator
369 (*Alligator mississippiensis*, left) and the Nile crocodile (*Crocodylus niloticus*, right) following
370 artificially inflation of the corpus spongiosum (technique per Moore *et al.*, 2021) during
371 necropsy. (A) Lateral view. (B) Distal view. G = glans ridge; GT = glans tip.

372 **Figure 2.** Broad-snouted caiman (*Caiman latirostris*) phallic glans malformations. (A) Normal
373 glans morphology-ventral view. (B) Bisected and deflected glans tip (see arrows pointing to
374 distal tips). (C and D) Bisected glans ridge ventral and lateral view, respectively. G = glans ridge;
375 GT = glans tip; SS = sulcus spermaticus. Scale bar = 1 cm.

376 **Figure 3.** Malformation of a juvenile Nile crocodile (*Crocodylus niloticus*) phallic glans. (A)
377 Normal glans morphology- lateral view. (B and C) Bifurcated abnormal glans- dorsal and
378 oblique views, respectively (see asterisks). (D) Histological section through bifurcation (solid
379 black arrow) showing corpus spongiosum division. (E) Computer generated, three-dimensional
380 reconstruction of the malformed glans seen in B and C with transparent epithelium showing
381 subjacent structures. G = glans; GT = glans tip; CF = corpus fibrosum (pink); CS = corpus
382 spongiosum (green); SS = sulcus spermaticus (purple).

383 **Figure 4.** Cuban crocodile (*Crocodylus rhombifer*) phallic malformations. (A) Normal sulcus
384 spermaticus. (B) Eroded sulcus spermaticus. (C) Normal glans, lateral view. (D) Malformed
385 (arrow) and necrotic glans. (E) Normal glans, distal aspect. (F) Malformed (arrow) glans, distal
386 aspect. G = glans; GT = glans tip; SS = sulcus spermaticus.

387 **Figure 5.** Serial MRIs of malformed broad-snouted caiman (*Caiman latirostris*) phallic glans
388 from Fig. 2D. (A through C) proximal to distal axial sections. CF = corpus fibrosum; CS =
389 corpus spongiosum; GT = glans tip; SS = sulcus spermaticus.

390 **Figure 6.** Adult male phallic glans amputation examples. (A and B) Saltwater crocodile
391 (*Crocodylus porosus*). (C and D) Broad-snouted caiman (*Caiman latirostris*). (E) Cuban
392 crocodile (*Crocodylus rhombifer*). Cr = crura; SS = sulcus spermaticus.

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